Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Case 3:22-cv-02170-S DDocument FRade 9/30/30 Page 1 of 5 PageID 1

BTXN 049 (rev. 03/15)

UNITED STATES BANKRUPTCY COURT NORTHERN DISTRICT OF TEXAS

	§	
	Š	
	Š	Case No.: 19–34054–sgj11
Debtor(s)	Š	Chapter No.: 11
、 /	Š	1
Plaintiff(s)	Š	Adversary No.: 21–03010–sgj
,	Š	,
Advisors, L.P. et	§ §	Civil Case No.:
Defendant(s)	§ 8	
nital Management	8	
prarmagement	8	
Appellant(s)	8	
(-)	8	
	8	
Appellee(s)	§	
	Advisors, L.P. et Defendant(s) pital Management Appellant(s)	Plaintiff(s) \$ Advisors, L.P. et \$ Defendant(s) \$ pital Management \$ Appellant(s) \$ \$

NOTICE OF TRANSMITTAL

I	am	tran	smi	ttir	ıg:

	The Motion for leave to Appeal 28 U.S.C. § (USDC Civil Action No. DNC Case).
	The Motion for Stay Pending Appeal (USDC Action No. – DNC Case).
	The Proposed Findings of Fact and Conclusions of Law.
	The Motion to Extend Time To File Designation (USDC Civil Action No DNC Case).
	On , the Record on Appeal was transmitted. The designation of record or item(s) designated by were not filed when the record was transmitted. The item(s) were filed on awaiting instructions from the assigned district judge.
	Other
V	Copies of: Notice of appeal, appeal order [126] and supporting documents

TO ALL ATTORNEYS: File all subsequent papers captioned and numbered with the appropriate division of the United States District Clerk's Office. Any questions concerning this proceeding should be directed to the U.S. District Clerk's Office at (214) 753–2200.

DATED: 9/28/22 FOR THE COURT:

Robert P. Colwell, Clerk of Court

by: /s/Sheniqua Whitaker, Deputy Clerk

Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Case 3:22-cv-02170-S DDocument FRade 2/30/30/20 Page 2 of 5 Page D 2

BTXN 116 (rev. 07/08)

UNITED STATES BANKRUPTCY COURT NORTHERN DISTRICT OF TEXAS

APPEAL SERVICE LIST

Transmission of the Record

BK Case No.: <u>19–34054–sgj11</u>
Adversary No.: 21–03010–sgj
Received in District Court by:
Date:
Volume Number(s):
cc: Stacey G Jernigan Courtney Lauer Caroline Nowlin Attorney(s) for Appellant US Trustee

Appellant NexPoint Advisors, L.P. Highland Capital Management Fund Advisors, L.P.

Davor Rukavina Julian P. Vasek MUNSCH HARDT KOPF & HARR, P.C. 3800 Ross Tower 500 N. Akard Street Dallas, Texas 75201–6659 Telephone: (214) 855–7587

Appellee Highland Capital Management, L.P.

PACHULSKI STANG ZIEHL & JONES LLP Jeffrey N. Pomerantz (CA Bar No. 143717) John A. Morris (NY Bar No. 2405397) Gregory V. Demo (NY Bar No. 5371992) Hayley R. Winograd (NY Bar No. 5612569) 10100 Santa Monica Blvd., 13th Floor Los Angeles, CA 90067 Telephone: (310) 277–6910

•

And

HAYWARD PLLC Melissa S. Hayward Texas Bar No. 24044908 MHayward@HaywardFirm.com Zachery Z. Annable Texas Bar No. 24053075 ZAnnable@HaywardFirm.com 10501 N. Central Expy, Ste. 106 Dallas, Texas 75231 Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Case 3:22-cv-02170-S DDocument FRage 3/36/302 Page 3 of 5 PageID 3

Tel: (972) 755-71

Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Case 3:22-cv-02170-S DDooument FRade 94/301/302 Page 4 of 5 Page 1D 4

BTXN 150 (rev. 11/10) In Re: Highland Capital Management, L.P. Case No.: 19-34054-sgj11 Debtor(s) Chapter No.: 11 Highland Capital Management, L.P. Plaintiff(s) Adversary No.: 21-03010-sgj Highland Capital Management Fund Advisors, L.P. et al. Defendant(s)

CIVIL CASE COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of

Court for the purpose of initiating the civil docket sheet. I. (a) APPELLANT APPELLEE NexPoint Advisors, L.P. Highland Capital Management Fund Highland Capital Management, L.P. Advisors, L.P. (b) County of Residence of First Listed Party: County of Residence of First Listed Party: (EXCÉPT IN U.S. PLAINTIFF CASES) (IN U.S. PLAINTIFF CASES ONLY) Attorney's (Firm Name, Address, and Telephone Number) Attorney's (If Known) PACHULSKI STANG ZIEHL & JONES LLP Davor Řukavina Julian P. Vasek Jeffrey N. Pomerantz (CA Bar No. 143717) John A. Morris (NY Bar No. 2405397) Gregory V. Demo (NY Bar No. 5371992) Hayley R. Winograd (NY Bar No. 5612569) 10100 Santa Monica Blvd., 13th Floor MUNSCH HARDT KOPF & HARR, P.C. 3800 Ross Tower 500 N. Akard Street Dallas, Texas 75201-6659 Telephone: (214) 855–7587 Los Angeles, CA 90067 Telephone: (310) 277-6910 HAYWARD PLLC Melissa S. Hayward Texas Bar No. 24044908 MHayward@HaywardFirm.com Zachery Z. Annable Texas Bar No. 24053075 ZAnnable@HaywardFirm.com 10501 N. Central Expy, Ste. 106 Dallas, Texas 75231 Tel: (972) 755-7100 II. BASIS OF JURISDICTION Federal Question Diversity U.S. Government U.S. Government (Indicate Citizenship of Parties in Item III) (U.S. Government 3 Not a Party) III. CITIZENSHIP OF PRINCIPAL PARTIES Incorporated or Principal Place \circ O_{-1} Citizen of This State of Business In This State Incorporated and Principal Place O 2 Citizen of Another State of Business In Another State Citizen or Subject of a 0 3 O_{3} O 6 Foreign Nation Foreign Country IV. NATURE OF SUIT C 423 Withdrawal 28 USC 157 890 Other Statutory Actions 422 Appeal 28 USC 158 V. ORIGIN Removed from State Reinstated or \circ ₂ Original Proceeding Remanded from Appellate Court Reopened Court Transferred from O 6 Multidistrict Appeal to District Judge from

7 Magistrate Judgment

VI. CAUSE OF ACTION

o another district

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 422 Appeal 28 USC 158

Litigation

Brief description of cause: Notice of appeal of a bankruptcy court order Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Case 3:22-cv-02170-S DDocument FRade 5/80/30 Page 5 of 5 PageID 5

VII. REQUESTED IN COMPLAINT:		
CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23	3 DEMAND \$	CHECK YES only if demanded in complaint: JURY DEMAND: Yes No
VIII. RELATED CASE(S) IF ANY Judge:	Docket Number:	

DATED: 9/28/22

FOR THE COURT: Robert P. Colwell, Clerk of Court by: /s/Sheniqua Whitaker, Deputy Clerk Davor Rukavina, Esq.
Texas Bar No. 24030781
Thomas D. Berghman, Esq.
Texas Bar No. 24082683
Julian P. Vasek, Esq.
Texas Bar No. 24070790
MUNSCH HARDT KOPF & HARR P.C.
500 N. Akard Street, Suite 3800
Dallas, Texas 75201
(214) 855-7500

COUNSEL FOR HIGHLAND CAPITAL MANAGEMENT FUND ADVISORS, L.P. AND NEXPOINT ADVISORS, L.P.

UNITED STATES BANKRUPTCY COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

In re:	§
HIGHLAND CAPITAL MANAGEMENT,	\$ Chapter 11
L.P.	§ Case No. 19-34054-sgj11
Debtor.	§
	§
HIGHLAND CAPITAL MANAGEMENT,	§ .
L.P.,	§ 8
Plaintiff,	8 8
,	§
V.	§ Adv. No. 21-03010-sgj
	§
HIGHLAND CAPITAL MANAGEMENT	§
FUND ADVISORS, L.P. and NEXPOINT	§
ADVISORS, L.P.,	§
	§
Defendants.	§

JOINT NOTICE OF APPEAL

COME NOW NexPoint Advisors, L.P. and Highland Capital Management Fund Advisors, L.P. (together, the "Advisors"), the defendants in the above styled and numbered Adversary Proceeding, and, pursuant to 28 U.S.C. § 158(a), hereby appeal to the United States District Court for the Northern District of Texas that certain *Judgment* ("Judgment") entered by the Bankruptcy Court on September 14, 2022 at docket no. 126.

A copy of the Judgment is attached hereto as Exhibit "A."

The names of the parties to the Order, their roles in the appeal, and the contact information for their counsel are as follows:

1. <u>Appellants:</u>

NexPoint Advisors, L.P. Highland Capital Management Fund Advisors, L.P.

Attorneys:

Davor Rukavina Julian P. Vasek MUNSCH HARDT KOPF & HARR, P.C. 3800 Ross Tower 500 N. Akard Street Dallas, Texas 75201-6659 Telephone: (214) 855-7587

Facsimile: (214) 855-7584 Email: <u>drukavina@munsch.com</u> Email: <u>jvasek@munsch.com</u>

2. <u>Appellee:</u>

Highland Capital Management, L.P.

Attorneys:

PACHULSKI STANG ZIEHL & JONES LLP Jeffrey N. Pomerantz (CA Bar No. 143717) John A. Morris (NY Bar No. 2405397) Gregory V. Demo (NY Bar No. 5371992) Hayley R. Winograd (NY Bar No. 5612569) 10100 Santa Monica Blvd., 13th Floor Los Angeles, CA 90067 Telephone: (310) 277-6910 Facsimile: (310) 201-0760 jpomerantz@pszjlaw.com jmorris@pszjlaw.com gdemo@pszjlaw.com hwinograd@pszjlaw.com

-and-

HAYWARD PLLC
Melissa S. Hayward
Texas Bar No. 24044908
MHayward@HaywardFirm.com
Zachery Z. Annable
Texas Bar No. 24053075
ZAnnable@HaywardFirm.com
10501 N. Central Expy, Ste. 106
Dallas, Texas 75231

Tel: (972) 755-7100 Fax: (972) 755-7110

RESPECTFULLY SUBMITTED this 20th day of September, 2022.

MUNSCH HARDT KOPF & HARR, P.C.

By: /s/ Davor Rukavina

Davor Rukavina, Esq.
Texas Bar No. 24030781
Julian P. Vasek, Esq.
Texas Bar No. 24070790
500 N. Akard Street, Suite 3800
Dallas, Texas 75201-6659
Telephone: (214) 855-7500
Facsimile: (214) 855-7584

Email: <u>drukavina@munsch.com</u> Email: <u>jvasek@munsch.com</u>

ATTORNEYS FOR NEXPOINT ADVISORS, L.P. AND HIGHLAND CAPITAL MANAGEMENT FUND ADVISORS, L.P.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that, on the 20th day of September, 2022, true and correct copies of this document, including any exhibit(s), were electronically served via the Court's CM/ECF system on all parties entitled to such notice, including counsel for the appellee.

By: /s/ Davor Rukavina

Davor Rukavina, Esq.



CLERK, U.S. BANKRUPTCY COURT
NORTHERN DISTRICT OF TEXAS

ENTERED

THE DATE OF ENTRY IS ON
THE COURT'S DOCKET

The following constitutes the ruling of the court and has the force and effect therein described.

Signed September 13, 2022

United States Bankruptcy Judge

IN THE UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

In re:	§ §	Chapter 11
HIGHLAND CAPITAL MANAGEMENT, L.P.,1	§ §	Case No. 19-34054-sgj11
Reorganized Debtor.	§ §	
HIGHLAND CAPITAL MANAGEMENT, L.P.,	§	
Plaintiff,	§ §	Adversary Proceeding No.
vs.	§ §	21-03010-sgj
HIGHLAND CAPITAL MANAGEMENT FUND	§ §	
ADVISORS, L.P., AND NEXPOINT ADVISORS, L.P.,	§ 8	
L.I.,	8 §	
Defendants.	§	

JUDGMENT

¹ The Reorganized Debtor's last four digits of its taxpayer identification number are (8357). The headquarters and service address for the above-captioned Reorganized Debtor is 100 Crescent Court, Suite 1850, Dallas, TX 75201.

This matter having come before the Court following the consolidation of (a) certain breach of contract claims asserted by Highland Capital Management, L.P. ("Highland" or "Plaintiff") against Highland Capital Management Fund Advisors, L.P. ("HCMFA") and NexPoint Advisors, L.P. ("NexPoint" and together with HCFMA, the "Defendants," and Plaintiff and Defendants together, the "Parties") in the above-referenced adversary proceeding (the "Adversary Proceeding"), with (b) the administrative expense claims asserted by HCMFA and NexPoint against Highland in the Application for Allowance of Administrative Claim [Main Docket No. 1826];² and the Court having held an evidentiary hearing on April 12 and 13, 2022 (the "Trial") and considered (a) Defendants' arguments and contentions set forth in the Advisors' Trial Brief [AP Docket No. 90]; (b) Plaintiff's arguments and contentions set forth in *Highland's Proposed* Findings of Fact and Conclusions of Law [AP Docket No. 91]; (c) the Joint Pretrial Order [AP Docket No. 96] filed by the Parties; (d) the exhibits admitted into evidence during the Trial [AP Docket No. 115]; (e) the credibility of the witnesses who testified during the Trial; (f) the arguments presented by counsel during closing arguments held on April 27, 2022; and (g) all prior proceedings arising in or concerning the claims asserted in the Adversary Proceeding, and for the reasons set forth in the Findings of Fact and Conclusions of Law in Support of Judgment: (A) Granting Breach of Contract Claims Asserted by the Reorganized Debtor; and (B) Denying Defendants' Request for Allowance of Administrative Expense Claims [AP Docket No. 124] (the "Findings") issued by the Court on August 30, 2022; the Court hereby enters the following final judgment (the "Final Judgment").

IT IS ORDERED, ADJUDGED, AND DECREED as follows:

² See Stipulation (A) Amending Scheduling Order and (B) Consolidating and Resolving Certain Matters, Adv. Pro. No. 21-03010-sgj, Docket No. 36 (references to the docket maintained in the Adversary Proceeding are hereafter referred to as "AP Docket No.").

- 1. HCMFA owes Highland the aggregate sum of \$1,756,000, and Highland shall have a money judgment against HCMFA in that amount.
- 2. NexPoint owes Highland the aggregate sum of \$840,000, and Highland shall have a money judgment against NexPoint in that amount.
- 3. All relief requested by the Defendants in the *Application for Allowance of Administrative Claim* [Main Docket No. 1826], including with respect to (i) all alleged overpayments and (2) all alleged breaches of contract by Highland, is denied and all claims that were asserted or could have been asserted therein are dismissed with prejudice.
- 4. The amounts set forth to be paid in this Final Judgment shall bear interest, pursuant to 28 U.S.C. § 1961, from the date of the entry of this Final Judgment, at a rate of 3.48 percent. Interest shall be computed daily to the date of payment, except as provided in 28 U.S.C. § 2516(b) and 31 U.S.C. § 1304(b), and shall be compounded annually.

END OF JUDGMENT

Case 21-03010-sgj Doc 130 Filed 09/30/22 Entered 09/30/22 09:46:13 Desc Main Document Page 12 of 30



CLERK, U.S. BANKRUPTCY COURT
NORTHERN DISTRICT OF TEXAS

ENTERED

THE DATE OF ENTRY IS ON
THE COURT'S DOCKET

The following constitutes the ruling of the court and has the force and effect therein described.

Signed September 13, 2022

United States Bankruptcy Judge

IN THE UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

In re:	§ §	Chapter 11
HIGHLAND CAPITAL MANAGEMENT, L.P., ¹	§ §	Case No. 19-34054-sgj11
Reorganized Debtor.	§ §	
HIGHLAND CAPITAL MANAGEMENT, L.P.,	§	
Plaintiff,	§ §	Adversary Proceeding No.
VS.	§ §	21-03010-sgj
HIGH AND CADITAL MANAGEMENT FUND	§	
HIGHLAND CAPITAL MANAGEMENT FUND	8	
ADVISORS, L.P., AND NEXPOINT ADVISORS,	§	
L.P.,	8	
	8	
Defendants.	_ §	

JUDGMENT

¹ The Reorganized Debtor's last four digits of its taxpayer identification number are (8357). The headquarters and service address for the above-captioned Reorganized Debtor is 100 Crescent Court, Suite 1850, Dallas, TX 75201.

This matter having come before the Court following the consolidation of (a) certain breach of contract claims asserted by Highland Capital Management, L.P. ("Highland" or "Plaintiff") against Highland Capital Management Fund Advisors, L.P. ("HCMFA") and NexPoint Advisors, L.P. ("NexPoint" and together with HCFMA, the "Defendants," and Plaintiff and Defendants together, the "Parties") in the above-referenced adversary proceeding (the "Adversary Proceeding"), with (b) the administrative expense claims asserted by HCMFA and NexPoint against Highland in the Application for Allowance of Administrative Claim [Main Docket No. 1826];² and the Court having held an evidentiary hearing on April 12 and 13, 2022 (the "Trial") and considered (a) Defendants' arguments and contentions set forth in the Advisors' Trial Brief [AP Docket No. 90]; (b) Plaintiff's arguments and contentions set forth in *Highland's Proposed* Findings of Fact and Conclusions of Law [AP Docket No. 91]; (c) the Joint Pretrial Order [AP Docket No. 96] filed by the Parties; (d) the exhibits admitted into evidence during the Trial [AP Docket No. 115]; (e) the credibility of the witnesses who testified during the Trial; (f) the arguments presented by counsel during closing arguments held on April 27, 2022; and (g) all prior proceedings arising in or concerning the claims asserted in the Adversary Proceeding, and for the reasons set forth in the Findings of Fact and Conclusions of Law in Support of Judgment: (A) Granting Breach of Contract Claims Asserted by the Reorganized Debtor; and (B) Denying Defendants' Request for Allowance of Administrative Expense Claims [AP Docket No. 124] (the "Findings") issued by the Court on August 30, 2022; the Court hereby enters the following final judgment (the "Final Judgment").

IT IS ORDERED, ADJUDGED, AND DECREED as follows:

² See Stipulation (A) Amending Scheduling Order and (B) Consolidating and Resolving Certain Matters, Adv. Pro. No. 21-03010-sgj, Docket No. 36 (references to the docket maintained in the Adversary Proceeding are hereafter referred to as "AP Docket No.").

- 1. HCMFA owes Highland the aggregate sum of \$1,756,000, and Highland shall have a money judgment against HCMFA in that amount.
- 2. NexPoint owes Highland the aggregate sum of \$840,000, and Highland shall have a money judgment against NexPoint in that amount.
- 3. All relief requested by the Defendants in the *Application for Allowance of Administrative Claim* [Main Docket No. 1826], including with respect to (i) all alleged overpayments and (2) all alleged breaches of contract by Highland, is denied and all claims that were asserted or could have been asserted therein are dismissed with prejudice.
- 4. The amounts set forth to be paid in this Final Judgment shall bear interest, pursuant to 28 U.S.C. § 1961, from the date of the entry of this Final Judgment, at a rate of 3.48 percent. Interest shall be computed daily to the date of payment, except as provided in 28 U.S.C. § 2516(b) and 31 U.S.C. § 1304(b), and shall be compounded annually.

END OF JUDGMENT

U.S. Bankruptcy Court Northern District of Texas (Dallas) Adversary Proceeding #: 21-03010-sgj

Assigned to: Chief Bankruptcy Jud Stacey G Jernigan Date Filed: 02/17/21

Lead BK Case: 19-34054

Lead BK Title: Highland Capital Management, L.P.

Lead BK Chapter: 11

Demand:

Nature[s] of Suit: 91 Declaratory judgment

02 Other (e.g. other actions that would have been brought in state court if unrelated to

bankruptcy)

72 Injunctive relief - other

Plaintiff

Highland Capital Management, L.P.

represented by Zachery Z. Annable

Hayward PLLC

10501 N. Central Expressway

Suite 106

Dallas, TX 75231 (972) 755-7108 Fax: (972) 755-7108

Email: <u>zannable@haywardfirm.com</u>

LEAD ATTORNEY

V.

Defendant

II: 11 10 2 1M

Highland Capital Management Fund Advisors,

L.P. K&LGates LLP c/o Stephen G. Topetzes 1600 K Street, NW Washington, DC 20006

represented by Thomas Daniel Berghman

Munsch Hardt Kopf & Harr PC 500 N Akard Street, Suite 3800 Dallas, TX 75201-6659

(214) 855-7554

Fax: (214) 978-4346

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A. Lee Hogewood, III

K&L Gates LLP

4350 Lassiter at North Hills Avenue

Suite 300

Raleigh, NC 27609 (919) 743-7306

Fax: (919) 516-2006

Email: <u>lee.hogewood@klgates.com</u>

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Davor Rukavina

Munsch, Hardt, Kopf & Harr 500 N. Akard Street, Ste 3800 Dallas, TX 75201-6659 (214)855-7587

Fax: 214-978-5359

Email: drukavina@munsch.com

Julian Preston Vasek

Munsch Hardt Kopf & Harr P.C. 500 N. Akard Street Suite 3800 Dallas, TX 75201 214-855-7500

Fax: 214-855-7584

Email: jvasek@munsch.com

Defendant

NexPoint Advisors, L.P. K&L Gates LLP c/o Stephen G. Topetzes 1600 K Street, NW Washington, DC 20006 represented by Thomas Daniel Berghman

(See above for address)

A. Lee Hogewood, III (See above for address)

Davor Rukavina (See above for address)

Julian Preston Vasek (See above for address)

Filing Date	Docket Text
02/17/2021	1 (88 pgs; 12 docs) Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P Fee Amount \$350 (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J # 11 Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). (Annable, Zachery)
02/17/2021	Receipt of filing fee for Complaint(21-03010-sgj) [cmp,cmp] (350.00). Receipt number 28496915, amount \$ 350.00 (re: Doc# 1). (U.S. Treasury)
02/17/2021	② 2 (9 pgs) Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P. (Annable, Zachery)
02/17/2021	3 (21 pgs) Brief in support filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service). (Annable, Zachery)

02/17/2021	Document Page 17 of 30 4 (78 pgs; 11 docs) Declaration re: (Declaration of Mr. James P. Seery, Jr. in Support of Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J) (Annable, Zachery)
02/17/2021	● 5 (6 pgs) Motion for expedited hearing(related documents 2 Motion to compel) filed by Plaintiff Highland Capital Management, L.P. (Annable, Zachery)
02/17/2021	
02/18/2021	
02/18/2021	§ (2 pgs) Scheduling order setting deadlines. Discovery and all exhibits except impeachment documents: 45 days prior to Docket Call, pre-trial order: 7 calendar days prior to Docket Call, proposed findings of fact and conclusions of law: 7 days prior to first scheduled docket call (RE: related document(s)¹ Complaint filed by Plaintiff Highland Capital Management, L.P.). Trial Docket Call date set for 7/12/2021 at 01:30 PM at Dallas Judge Jernigan Ctrm. Trial will be held during the week of 7/19/2021., Entered on 2/18/2021 (Edmond, Michael)
02/18/2021	
02/18/2021	
02/18/2021	② 11 (2 pgs) Order granting motion for expedited hearing (Related Doc# 5)(document set for hearing: 2 Motion to compel) Hearing to be held on 2/23/2021 at 09:30 AM Dallas Judge Jernigan Ctrm for 2, Entered on 2/18/2021. (Okafor, M.)
02/19/2021	② 12 (13 pgs) Certificate of service re: <i>Documents Served on February 17, 2021</i> Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)¹ Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P Fee Amount \$350 (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J # 11 Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P., 2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (<i>Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021</i>) filed by Plaintiff Highland Capital Management, L.P., 3 Brief in support filed by Plaintiff Highland Capital Management, L.P., (RE: related document(s)² Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (<i>Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service</i>). filed by Plaintiff Highland Capital Management, L.P., 4 Declaration re: (<i>Declaration of Mr. James P. Seery, Jr. in Support of Debtor's Emergency Motion for a Mandatory</i>

9/28/22, 9:0 ©ase 21	-03010-sgj Doc 130 _ Filed 69/30/22y c പ്രസ്കൾ എ9/30/22 - 28:46:13 Desc Main
	Injunction Requiring the Advisors of Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service). (Attachments: #1 Exhibit A #2 Exhibit B #3 Exhibit C #4 Exhibit D #5 Exhibit E #6 Exhibit F #7 Exhibit G #8 Exhibit H #9 Exhibit I #10 Exhibit J) filed by Plaintiff Highland Capital Management, L.P., 5 Motion for expedited hearing (related documents 2 Motion to compel) filed by Plaintiff Highland Capital Management, L.P. filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel filed by Plaintiff Highland Capital Management, L.P.). Hearing to be held on 2/23/2021 at 09:30 AM Dallas Judge Jernigan Ctrm for 2, filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
02/20/2021	② 13 (3 pgs) Amended Notice of hearing filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel filed by Plaintiff Highland Capital Management, L.P.). Hearing to be held on 2/23/2021 at 09:00 AM Dallas Judge Jernigan Ctrm for 2, (Annable, Zachery)
02/20/2021	● 14 (4 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)11 Order granting motion for expedited hearing (Related Doc5)(document set for hearing: 2 Motion to compel) Hearing to be held on 2/23/2021 at 09:30 AM Dallas Judge Jernigan Ctrm for 2, Entered on 2/18/2021. (Okafor, M.)) No. of Notices: 1. Notice Date 02/20/2021. (Admin.)
02/21/2021	● 15 (3 pgs) Notice to take deposition of James P. Seery Jr. filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P (Vasek, Julian)
02/21/2021	● 16 (3 pgs) Notice to take deposition of Dustin Norris filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
02/21/2021	● 17 (3 pgs) Notice to take deposition of James Dondero filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
02/21/2021	■ 18 (151 pgs; 15 docs) Witness and Exhibit List filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J # 11 Exhibit K # 12 Exhibit L # 13 Exhibit M # 14 Exhibit N) (Vasek, Julian)
02/22/2021	● 19 (69 pgs; 6 docs) Amended Witness and Exhibit List filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)10 List (witness/exhibit/generic)). (Attachments: # 1 Exhibit 17 # 2 Exhibit 18 # 3 Exhibit 19 # 4 Exhibit 20 # 5 Exhibit 21) (Annable, Zachery)
02/22/2021	② 20 (27 pgs) Objection to (related document(s): 2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service filed by Plaintiff Highland Capital Management, L.P.) filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P. (Rukavina, Davor)
02/23/2021	② 21 (1 pg) Request for transcript regarding a hearing held on 2/23/2021. The requested turn-around time is hourly. (Edmond, Michael)
02/23/2021	② 22 (6 pgs) Certificate of service re: 1) Debtor's Witness and Exhibit List with Respect to Evidentiary Hearing to be Held on February 23, 2021; and 2) Order Granting Debtor's Motion for Expedited Hearing on it's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Implement a Plan for the Transition of Services by February 28, 2021 Filed by Claims Agent Kurtzman Carson Consultants LLC

9/28/22, 9:0 £ase 21 -	-03010-sgj Doc 130 Filed 99/30/22√ cക്സ്ശേകോലിം30/22-യു :46:13 Desc Main
	(related document(s)10 With Estated Likel by Pointiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Service). (Attachments: #1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10 Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13 # 14 Exhibit 14 # 15 Exhibit 15 # 16 Exhibit 16) filed by Plaintiff Highland Capital Management, L.P., 11 Order granting motion for expedited hearing (Related Doc5)(document set for hearing: 2 Motion to compel) Hearing to be held on 2/23/2021 at 09:30 AM Dallas Judge Jernigan Ctrm for 2, Entered on 2/18/2021. (Okafor, M.)). (Kass, Albert)
02/23/2021	② 23 Hearing held on 2/23/2021. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021, (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P. filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris and J. Pomeranz for Debtor; L. Hogewood and D. Rukavina for Advisors; J. Wilson and B. Assink for J. Dondero; M. Clemente for UCC. Evidentiary hearing. Motion moot, as a result of evidence and findings that court made on the record. Mr. Morris to upload an order consistent with the courts ruling.) (Edmond, Michael) (Entered: 02/24/2021)
02/23/2021	② 27 (1 pg) Court admitted exhibits date of hearing February 23, 2021 (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021. (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P.) (COURT ADMITTED PLAINTIFF'S EXHIBIT'S #1 THROUGH #21 ADMITTED BY JOHN MORRIS THAT APPEAR AT DOC. #10 & #19 AND DEFENDANT EXHIBIT'S #A THROUGH #N THAT APPEAR AT DOC. #18 & EXHIBIT #0 (TO BE SUPPLEMENTED IN) BY DAVOR RUKAVINA) (Edmond, Michael) (Entered: 02/25/2021)
02/24/2021	② 24 (7 pgs) Support/supplemental document <i>Letter to Court Regarding Proposed Order</i> filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P. (RE: related document(s) 23 Hearing held). (Rukavina, Davor)
02/24/2021	② 25 (5 pgs) Order dismissing motion to compel as moot. (related document # 2) Entered on 2/24/2021. (Bradden, T.)
02/25/2021	② 26 (239 pgs) Transcript regarding Hearing Held 02/23/2021 (239 pgs.) RE: Motion for Mandatory Injunction. THIS TRANSCRIPT WILL BE MADE ELECTRONICALLY AVAILABLE TO THE GENERAL PUBLIC 90 DAYS AFTER THE DATE OF FILING. TRANSCRIPT RELEASE DATE IS 05/26/2021. Until that time the transcript may be viewed at the Clerk's Office or a copy may be obtained from the official court transcriber. Court Reporter/Transcriber Kathy Rehling, kathyrehlingtranscripts@gmail.com, Telephone number 972-786-3063. (RE: related document(s) 23 Hearing held on 2/23/2021. (RE: related document(s)2 Motion to compel Adoption and Implementation of a Plan for the Transition of Services by February 28, 2021, (Debtor's Emergency Motion for a Mandatory Injunction Requiring the Advisors to Adopt and Implement a Plan for the Transition of Services by February 28, 2021) filed by Plaintiff Highland Capital Management, L.P. filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris and J. Pomeranz for Debtor; L. Hogewood and D. Rukavina for Advisors; J. Wilson and B. Assink for J. Dondero; M. Clemente for UCC. Evidentiary hearing. Motion moot, as a result of evidence and findings that court made on the record. Mr. Morris to upload an order consistent with the courts ruling.)). Transcript to be made available to the public on 05/26/2021. (Rehling, Kathy)
02/25/2021	② 28 (21 pgs) Certificate of service re: <i>Documents Served on or Before February 23, 2021</i> Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)13 Amended Notice of hearing filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)2 Motion to compel filed by Plaintiff Highland Capital Management, L.P.). Hearing to be held on 2/23/2021 at 09:00 AM Dallas Judge Jernigan Ctrm for 2, filed by Plaintiff Highland Capital Management, L.P., 16 Notice to take deposition of Dustin Norris filed by Plaintiff Highland Capital Management, L.P., filed by Plaintiff Highland Capital Management, L.P., 17 Notice to take deposition of James Dondero filed by Plaintiff Highland Capital

0/28/22, 9:0 ©ase 21	-03010-sgj Doc 130 Filed 69ം/20/22y െ പ്രേർത്ത് എം/20/22ംയും:46:13 Desc Main
	Management, L.P filed by Plaintiff Highland Capital Management, L.P., 19 Amended Witness and Exhibit List filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)10 List (witness/exhibit/generic)). (Attachments: # 1 Exhibit 17 # 2 Exhibit 18 # 3 Exhibit 19 # 4 Exhibit 20 # 5 Exhibit 21) filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
02/27/2021	② 29 (7 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)25 Order dismissing motion to compel as moot. (related document 2) Entered on 2/24/2021. (Bradden, T.)) No. of Notices: 1. Notice Date 02/27/2021. (Admin.)
03/01/2021	
03/10/2021	
03/10/2021	
03/22/2021	33 (7 pgs) Answer to complaint filed by Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P (Rukavina, Davor)
07/12/2021	34 Hearing held on 7/12/2021. (RE: related document(s)¹ Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P.) (Appearances: D. Rukavina. Nonevidentiary TDC. Matter is being consolidated with Defendants trial in September on its asserted administrative claims. Counsel should submit an agreed order to this effect.) (Edmond, Michael) (Entered: 07/13/2021)
07/14/2021	(1 pg) PDF with attached Audio File. Court Date & Time [07/12/2021 01:37:35 PM]. File Size [1287 KB]. Run Time [00:05:29]. (admin).
08/04/2021	36 (7 pgs) Stipulation by Highland Capital Management, L.P. and Highland Capital Management Fund Advisors, L.P., and NexPoint Advisors, L.P., filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)8 Standing scheduling order in an adversary proceeding). (Annable, Zachery)
08/06/2021	37 (11 pgs) Order approving stipulation (A) amending schedule and (B) consolidating and resolving certain matters (RE: related document(s)2607 Stipulation filed by Debtor Highland Capital Management, L.P. (RE: related document(s) 36 Stipulation and 1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Trial date set for 12/7/2021 at 09:30 AM at Dallas Judge Jernigan Ctrm. Entered on 8/6/2021 (Okafor, M.)
08/06/2021	38 (5 pgs) Certificate of service re: Stipulation (A) Amending Scheduling Order and (B) Consolidating and Resolving Certain Matters Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)36 Stipulation by Highland Capital Management, L.P. and Highland Capital Management Fund Advisors, L.P., and NexPoint Advisors, L.P filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)8 Standing scheduling order in an adversary proceeding). filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
08/08/2021	39 (13 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)37 Order approving stipulation (A) amending schedule and (B) consolidating and resolving certain matters (RE: related document(s)2607 Stipulation filed by Debtor Highland Capital Management, L.P. (RE: related document(s) 36 Stipulation and 1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Trial

28/22, 9:0 :2ause 2	21-03010-sgj Doc 130 Filed 09/30/22y comtered 09/30/22-09:46:13 Desc Main date set for 12/7/2021 at 09:90 UNF at Dallas 100 21-11 gan Ctrm. Entered on 8/6/2021 (Okafor, M.)) No. of Notices: 1. Notice Date 08/08/2021. (Admin.)
08/11/2021	3 40 (5 pgs) Certificate of service re: Order Approving Stipulation (A) Amending Scheduling Order and (B) Consolidating and Resolving Certain Matters Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)37 Order approving stipulation (A) amending schedule and (B) consolidating and resolving certain matters (RE: related document(s)2607 Stipulation filed by Debtor Highland Capital Management, L.P. (RE: related document(s) 36 Stipulation and 1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Trial date set for 12/7/2021 at 09:30 AM at Dallas Judge Jernigan Ctrm. Entered on 8/6/2021 (Okafor, M.)). (Kass, Albert)
10/05/2021	● 41 (7 pgs) Notice to take deposition of Highland Capital Management Fund Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
10/11/2021	
11/01/2021	
12/09/2021	44 (3 pgs) Notice of Trial hearing filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Trial date set for 2/8/2022 at 09:30 AM at at https://us-courts.webex.com/meet/jerniga. (Vasek, Julian)
12/15/2021	● 45 (6 pgs) Stipulation by Highland Capital Management, L.P. and Highland Capital Management Fund Advisors, L.P. and NexPoint Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)37 Order to set hearing). (Annable, Zachery)
12/17/2021	
12/19/2021	
12/20/2021	
12/22/2021	
12/22/2021	● 50 (5 pgs) Certificate of service re: Order Approving Stipulation Regarding Second Amended

9/28/22, 9:0 ©ase 21 	-03010-sgj Doc 130 Filed 69/30/22y contered 09/30/22e93:46:13 Desc Main Scheduling Order Filed by Claims Agent Kurtsman Cansultants LLC (related document(s)46 Order approving stipulation regarding second amended scheduling order (RE: related document(s)1 Complaint filed by Plaintiff Highland Capital Management, L.P., 45 Stipulation filed by Plaintiff Highland Capital Management, L.P.). Trial Docket Call date set for 2/8-9/2022 at 09:30 AM Dallas Judge Jernigan Ctrm. Entered on 12/17/2021). (Kass, Albert)
12/27/2021	§ 51 (8 pgs) Subpoena on Frank Waterhouse filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
12/28/2021	
01/04/2022	
01/04/2022	§ 54 (8 pgs) Notice to take deposition of NexPoint Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
01/04/2022	
01/05/2022	
01/06/2022	● 57 (12 pgs) Certificate of service re: 1) Highland's Amended Notice of Rule 30(b)(6) Deposition to (A) Highland Capital Management Fund Advisors, L.P. and (B) NexPoint Advisors, L.P.; 2) Highland's Amended Notice of Rule 30(b)(6) Deposition to (A) Highland Capital Management Fund Advisors, L.P. and (B) NexPoint Advisors, L.P.; and 3) Highland's Notice of Deposition to Dustin Norris Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)53 Notice to take deposition of Highland Capital Management Fund Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P., 54 Notice to take deposition of NexPoint Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P., 55 Notice to take deposition of Dustin Norris filed by Plaintiff Highland Capital Management, L.P., filed by Plaintiff Highland Capital Management, L.P.
01/07/2022	
01/31/2022	
02/01/2022	⊕ 60 (3 pgs) Agreed Amended Scheduling Order granting motion to continue trial (related document # 59) (related documents Complaint, Stipulation) Trial date set for 4/12/2022 at 09:30 AM at Dallas Judge Jernigan Ctrm. Entered on 2/1/2022. (Okafor, Marcey)
02/03/2022	● 61 (5 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)60 Agreed Amended Scheduling Order granting motion to continue trial (related document 59) (related documents Complaint,

0/28/22, 9:0 £ause 2 	1-03010-sgj Doc 130 File d . 69/30/12/2y c Intered r 09/30/22 e 9:46:13 Desc Main Stipulation) Trial date set Por 12/2022 at 19:30 A 27 a 15 30 as Judge Jernigan Ctrm. Entered on 2/1/2022.) No. of Notices: 2. Notice Date 02/03/2022. (Admin.)
02/04/2022	
02/26/2022	
02/26/2022	
02/26/2022	
03/02/2022	66 (8 pgs) Subpoena on Frank Waterhouse filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
03/03/2022	
03/07/2022	⊕ 68 (9 pgs) Certificate of service re: Plaintiff's Amended Notice of Service of a Subpoena to Frank Waterhouse Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s) 66 Subpoena on Frank Waterhouse filed by Plaintiff Highland Capital Management, L.P., filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
03/15/2022	
03/15/2022	70 (11 pgs) Subpoena on Highland Capital Management Fund Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
03/15/2022	71 (11 pgs) Subpoena on NexPoint Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
03/16/2022	
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 	Document Page 25 of 30
04/05/2022	■ 82 (4 pgs) Objection to (related document(s): 80 List (witness/exhibit/generic) filed by Plaintiff Highland Capital Management, L.P.) filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P (Berghman, Thomas)
04/05/2022	■ 83 (3 pgs) Objection to (related document(s): 81 List (witness/exhibit/generic) filed by Defendant Highland Capital Management Fund Advisors, L.P., Defendant NexPoint Advisors, L.P.)(Reorganized Debtor's Objections to Advisors' Trial Witness and Exhibit List) filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
04/06/2022	■ 84 (4 pgs) Certificate of service re: Subpoena to Appear and Testify at a Hearing or Trial in a Bankruptcy Case (or Adversary Proceeding) (Affidavit of Service) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)78 Subpoena). (Annable, Zachery)
04/06/2022	■ 85 (4 pgs) Certificate of service re: Subpoena to Appear and Testify at a Hearing or Trial in a Bankruptcy Case (or Adversary Proceeding) (Affidavit of Service) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)77 Subpoena). (Annable, Zachery)
04/06/2022	■ 86 (8 pgs) Certificate of service re: Subpoena to Appear and Testify at a Hearing or Trial in a Bankruptcy Case (or Adversary Proceeding) (Affidavit of Service) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)76 Subpoena). (Annable, Zachery)
04/06/2022	
04/06/2022	■ 88 (8 pgs) Certificate of service re: Subpoena to Appear and Testify at a Hearing or Trial in a Bankruptcy Case (or Adversary Proceeding) (Affidavit of Service) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)75 Subpoena). (Annable, Zachery)
04/06/2022	■ 89 (9 pgs) Certificate of service re: Subpoena to Appear and Testify at a Hearing or Trial in a Bankruptcy Case (or Adversary Proceeding) (Affidavit of Service) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)75 Subpoena). (Annable, Zachery)
04/06/2022	
04/06/2022	91 (68 pgs) Proposed findings of fact and conclusions of law filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)1 Complaint). (Annable, Zachery)
04/06/2022	92 (18 pgs) Proposed pre-trial order filed by Plaintiff Highland Capital Management, L.P (Annable, Zachery)
04/07/2022	3 93 (17 pgs) Certificate of service re: Documents Served on April 1, 2022 Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s) 75 Subpoena on NexPoint Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P., 76 Subpoena on Highland Capital Management Fund Advisors, L.P. filed by Plaintiff Highland Capital Management, L.P., 77 Subpoena on James Dondero filed by Plaintiff Highland Capital Management, L.P., filed by Plaintiff Highland Capital Management, L.P., 78 Subpoena on Dustin Norris filed by Plaintiff Highland Capital Management, L.P., 80 Witness and Exhibit List (Reorganized Debtor's Witness and Exhibit List with Respect to Trial to Be Held on April 12-13, 2022) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)1 Complaint). (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7

//28/22, 9:0 ©a/se 21	-03010-sgj Doc 130 Filed 09/30/22y comtened 09/30/22 09:46:13 Desc Main Management, L.P. (RE: related the content (space of the content of th
04/10/2022	● 100 (20 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)96 Joint Pre-Trial order (RE: related document(s)1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Entered on 4/8/2022) No. of Notices: 2. Notice Date 04/10/2022. (Admin.)
04/11/2022	
04/11/2022	② 102 (337 pgs; 6 docs) Declaration re: (Declaration of John A. Morris in Support of Highland's Response to Objections to Trial Subpoenas Duces Tecum) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)101 Objection). (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5) (Annable, Zachery)
04/12/2022	② 103 (12 pgs) Certificate of service re: 1) Plaintiff's Notice of Service of a Trial Subpoena to Frank Waterhouse; 2) Joint Pretrial Order; and 3) Reorganized Debtor's Emergency Motion to Redact Certain Exhibits Attached to Reorganized Debtor's Witness and Exhibit List with Respect to Trial to be Held on April 12 - 13, 2022 Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)95 Subpoena on Frank Waterhouse filed by Plaintiff Highland Capital Management, L.P., filed by Plaintiff Highland Capital Management, L.P., 96 Joint Pre-Trial order (RE: related document(s)1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Entered on 4/8/2022, 97 Motion to redact/restrict Emergency Redact (related document(s):80) (Fee Amount \$26) filed by Plaintiff Highland Capital Management, L.P. (Attachments: # 1 Exhibit 31 (Redacted) # 2 Exhibit 32 (Redacted) # 3 Exhibit 34 (Redacted) # 4 Exhibit 36 (Redacted) # 5 Exhibit 37 (Redacted) # 6 Exhibit 38 (Redacted) # 7 Exhibit 39 (Redacted) # 8 Exhibit 40 (Redacted) # 9 Exhibit 49 (Redacted) # 10 Exhibit 76 (Redacted) # 11 Exhibit 86 (Redacted) # 12 Exhibit 142 (Redacted)) filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
04/12/2022	■ 104 (5 pgs) Certificate of service re: 1) Highland's Response to Objections to Trial Subpoenas Duces Tecum; and 2) Declaration of John A. Morris in Support of Highland's Response to Objections to Trial Subpoenas Duces Tecum Filed by Claims Agent Kurtzman Carson Consultants LLC (related document(s)101 Objection to (related document(s): 98 Support/supplemental document filed by Defendant Highland Capital Management Fund Advisors, L.P., Defendant NexPoint Advisors, L.P.)(Highland's Response to Objections to Trial Subpoenas Duces Tecum) filed by Plaintiff Highland Capital Management, L.P., 102 Declaration re: (Declaration of John A. Morris in Support of Highland's Response to Objections to Trial Subpoenas Duces Tecum) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)101 Objection). (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5) filed by Plaintiff Highland Capital Management, L.P.). (Kass, Albert)
04/12/2022	● 105 Hearing continued (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other), filed by Plaintiff Highland Capital Management, L.P.) Continued Hearing to be held on 4/13/2022 at 09:30 AM Dallas Judge Jernigan Ctrm for 1, (Edmond, Michael) (Entered: 04/13/2022)
04/12/2022	● 106 (1 pg) Request for transcript regarding a hearing held on 4/12/2022. The requested turn-around time is hourly. (Edmond, Michael) (Entered: 04/13/2022)
04/12/2022	● 115 (1 pg) Court admitted exhibits date of hearing April 12, 2022 (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Nature(s) of suit: 91 (Declaratory judgment).

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	02 (Other (e.g. other actions that would have been 28 of 30 state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P.) (COURT ADMITTED ALL OF PLAINTIFF'S/DEBTOR EXHIBITS #1 THROUGH #161 BY JOHN MORRIS & COURT ADMITTED DEFENDANT'S/HCM FUND ADVISORS, L.P. AND NEXPOINT ADVISORS, L.P., EXHIBITS #A, #B, #C, #D, #E, #F, #G, #H, #I, #J, #K, #M, #N, #O, #P, #Q, #R, #S, #T, #U, #V, #W, #X, #Y, #AA, #BB, #CC & #EE BY DAVOR RUKAVINA) (Edmond, Michael) (Entered: 04/15/2022)
04/13/2022	
04/13/2022	● 108 (1 pg) Request for transcript regarding a hearing held on 4/13/2022. The requested turn-around time is hourly. (Edmond, Michael)
04/13/2022	● 109 Hearing held on 4/13/2022. (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive reliefother). filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris, H. Winograd, and G. Demo for Reorganized Debtor; D. Rukavina and T. Berghman for HCMFA and NPA. Evidentiary hearing. Evidence closed. Court will schedule closing arguments (WebEx only) in one-two week time frame. Court room deputy will reach out to parties regarding same.) (Edmond, Michael) (Entered: 04/14/2022)
04/14/2022	
04/14/2022	111 (1 pg) PDF with attached Audio File. Court Date & Time [04/12/2022 08:44:21 AM]. File Size [124265 KB]. Run Time [08:52:34]. (admin).
04/14/2022	112 (1 pg) PDF with attached Audio File. Court Date & Time [04/13/2022 08:49:27 AM]. File Size [25764 KB]. Run Time [01:50:11]. (admin).
04/15/2022	■ 113 (161 pgs) Transcript regarding Hearing Held 04/12/2022 RE: hearing. THIS TRANSCRIPT WILL BE MADE ELECTRONICALLY AVAILABLE TO THE GENERAL PUBLIC 90 DAYS AFTER THE DATE OF FILING. TRANSCRIPT RELEASE DATE IS 07/14/2022. Until that time the transcript may be viewed at the Clerk's Office or a copy may be obtained from the official court transcriber. Court Reporter/Transcriber Acorn Transcripts, LLC, Telephone number 1-800-750-5747. (RE: related document(s) 105 Hearing continued (RE: related document(s)¹ Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other), filed by Plaintiff Highland Capital Management, L.P.) Continued Hearing to be held on 4/13/2022 at 09:30 AM Dallas Judge Jernigan Ctrm for ¹,). Transcript to be made available to the public on 07/14/2022. (Gardelli, Nancy)
04/15/2022	● 114 (84 pgs) Transcript regarding Hearing Held 04/13/2022 RE: Hearing. THIS TRANSCRIPT WILL

9/28/22, 9:0 : Qause 2	1-03010-sgj Doc 130 Filed 69/20/22y contened 09/30/22@2:46:13 Desc Main BE MADE ELECTRONIC RELEM VAILABER OF THE 30 NERAL PUBLIC 90 DAYS AFTER THE DATE OF FILING. TRANSCRIPT RELEASE DATE IS 07/14/2022. Until that time the transcript may be viewed at the Clerk's Office or a copy may be obtained from the official court transcriber. Court Reporter/Transcriber Acorn Transcripts, LLC, Telephone number 1-800-750-5747. (RE: related document(s) 109 Hearing held on 4/13/2022. (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris, H. Winograd, and G. Demo for Reorganized Debtor; D. Rukavina and T. Berghman for HCMFA and NPA. Evidentiary hearing. Evidence closed. Court will schedule closing arguments (WebEx only) in one-two week time frame. Court room deputy will reach out to parties regarding same.)). Transcript to be made available to the public on 07/14/2022. (Gardelli, Nancy)
04/18/2022	■ 116 (181 pgs) Transcript regarding Hearing Held 04/13/22 RE: Trial PM Session. THIS TRANSCRIPT WILL BE MADE ELECTRONICALLY AVAILABLE TO THE GENERAL PUBLIC 90 DAYS AFTER THE DATE OF FILING. TRANSCRIPT RELEASE DATE IS 07/18/2022. Until that time the transcript may be viewed at the Clerk's Office or a copy may be obtained from the official court transcriber. Court Reporter/Transcriber Liberty Transcripts/Dipti Patel, Telephone number 847-848-4907. (RE: related document(s) 109 Hearing held on 4/13/2022. (RE: related document(s)¹ Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris, H. Winograd, and G. Demo for Reorganized Debtor; D. Rukavina and T. Berghman for HCMFA and NPA. Evidentiary hearing. Evidence closed. Court will schedule closing arguments (WebEx only) in one-two week time frame. Court room deputy will reach out to parties regarding same.)). Transcript to be made available to the public on 07/18/2022. (Patel, Dipti)
04/18/2022	● 117 (17 pgs; 3 docs) Amended Witness and Exhibit List (Reorganized Debtor's Amended Witness and Exhibit List with Respect to Trial to Be Held on April 12-13, 2022) filed by Plaintiff Highland Capital Management, L.P. (RE: related document(s)80 List (witness/exhibit/generic)). (Attachments: # 1 Exhibit 160 # 2 Exhibit 161) (Annable, Zachery)
04/18/2022	● 118 (3 pgs) Order Granting Emergency Motion to Redact Certain Exhibits (Related Doc # 97) Entered on 4/18/2022. (Okafor, Marcey)
04/19/2022	● 119 Trial/Closing arguments set (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P Fee Amount \$350 (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J # 11 Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other). filed by Plaintiff Highland Capital Management, L.P.) Trial date set for 4/27/2022 at 01:30 PM at at https://us-courts.webex.com/meet/jerniga. Parties should appear via Webex. (Ellison, T.)
04/20/2022	
04/27/2022	● 121 Hearing held on 4/27/2022. (RE: related document(s)1 Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint

9/28/22, 9:0 ©ause 21	-03010-sgj Doc 130 Filed 69/30/22y countered 69/30/22e09:46:13 Desc Main Advisors, L.P., Adversary Cover Sheet). Nation (S) 30 soft:39 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief other), filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris for Reorganized Debtor; D. Rukavina for HCMFA and NexPoint Advisors. Nonevidentiary hearing (closing arguments). Court took matter under advisement.) (Edmond, Michael) (Entered: 04/28/2022)
05/04/2022	● 123 (1 pg) Request for transcript regarding a hearing held on 4/27/2022. The requested turn-around time is hourly (Smith, Caitlynne) (Entered: 05/25/2022)
05/09/2022	② 122 (81 pgs) Transcript regarding Hearing Held 4/27/2022 RE: Closing Arguments. THIS TRANSCRIPT WILL BE MADE ELECTRONICALLY AVAILABLE TO THE GENERAL PUBLIC 90 DAYS AFTER THE DATE OF FILING. TRANSCRIPT RELEASE DATE IS 08/8/2022. Until that time the transcript may be viewed at the Clerk's Office or a copy may be obtained from the official court transcriber. Court Reporter/Transcriber Dipti Patel/Liberty Transcripts, Telephone number 847-848-4907. (RE: related document(s) 121 Hearing held on 4/27/2022. (RE: related document(s)¹ Adversary case 21-03010. Complaint by Highland Capital Management, L.P. against Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Adversary Cover Sheet). Nature(s) of suit: 91 (Declaratory judgment). 02 (Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy)). 72 (Injunctive relief - other), filed by Plaintiff Highland Capital Management, L.P.) (Appearances: J. Morris for Reorganized Debtor; D. Rukavina for HCMFA and NexPoint Advisors. Nonevidentiary hearing (closing arguments). Court took matter under advisement.)). Transcript to be made available to the public on 08/8/2022. (Patel, Dipti)
08/30/2022	● 124 (60 pgs) Findings of fact and conclusions of law in support of judgment: (A) granting breach of contract claims asserted by the Reorganized Debtor; and (B) denying Defendants' requests for allowance of administrative expense claims (RE: related document(s)1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Entered on 8/30/2022 (Okafor, Marcey)
09/01/2022	● 125 (62 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)124 Findings of fact and conclusions of law in support of judgment: (A) granting breach of contract claims asserted by the Reorganized Debtor; and (B) denying Defendants' requests for allowance of administrative expense claims (RE: related document(s)1 Complaint filed by Plaintiff Highland Capital Management, L.P.). Entered on 8/30/2022) No. of Notices: 2. Notice Date 09/01/2022. (Admin.)
09/14/2022	● 126 (3 pgs) Judgment (final). Entered on 9/14/2022 (Okafor, Marcey)
09/16/2022	② 127 (5 pgs) BNC certificate of mailing - PDF document. (RE: related document(s)126 Judgment (final). Entered on 9/14/2022) No. of Notices: 2. Notice Date 09/16/2022. (Admin.)
09/20/2022	● 128 (6 pgs) Notice of appeal . Fee Amount \$298 filed by Defendants Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P. (RE: related document(s)126 Judgment). Appellant Designation due by 10/4/2022. (Rukavina, Davor)
09/20/2022	Receipt of filing fee for Notice of appeal(<u>21-03010-sgj</u>) [appeal,ntcapl] (298.00). Receipt number A29832129, amount \$ 298.00 (re: Doc# <u>128</u>). (U.S. Treasury)